

## منابع كتاب محتوم

### 1. TURTLES ALL THE WAY DOWN

1. For a review of experimental philosophy, see: J. Knobe et al., “Experimental Philosophy,” *Annual Review of Psychology* 63 (2012): 81. Also see: David Bourget and David Chalmers, eds., “The 2020 PhilPapers Survey,” 2020, [survey2020.philpeople.org/survey/results/all](https://survey2020.philpeople.org/survey/results/all).

Free will belief in children across cultures: Gopnik and Kushnir’s work: T. Kushnir et al., “Developing Intuitions about Free Will between Ages Four and Six,” *Cognition* 138 (2015): 79; N. Chernyak, C. Kang, and T. Kushnir, “The Cultural Roots of Free Will Beliefs: How Singaporean and U.S. Children Judge and Explain Possibilities for Action in Interpersonal Contexts,” *Developmental Psychology* 55 (2019): 866; N. Chernyak et al., “A Comparison of American and Nepalese Children’s Concepts of Freedom of Choice and Social Constraint,” *Cognitive Science* 37 (2013): 1343; A. Wente et al., “How Universal Are Free Will Beliefs? Cultural Differences in Chinese and U.S. 4- and 6-Year-Olds,” *Child Development* 87 (2016): 666.

Belief in free will is widespread cross-culturally, but not universal: D. Wisniewski, R. Deutschland, and J.-D. Haynes, “Free Will Beliefs Are Better Predicted by Dualism Than Determinism Beliefs across Different Cultures,” *PLoS One* 14 (2019): e0221617; R. Berniunasa et al., “The Weirdness of Belief in Free Will,” *Consciousness and Cognition* 87 (2021): 103054; H. Sarkissian et al., “Is Belief in Free Will a Cultural Universal?,” *Mind and Language* 25 (2021): 346.

Driving study: E. Awad et al., “Drivers Are Blamed More Than Their Automated Cars When Both Make Mistakes,” *Nature Human Behaviour*, 4 (2020): 134.

2. L. Egan, P. Bloom, and L. Santos, "Choice-Induced Preferences in the Absence of Choice: Evidence from a Blind Two Choice Paradigm with Young Children and Capuchin Monkeys," *Journal of Experimental and Social Psychology* 46 (2010): 204.
3. Footnote (p. 6): For overviews of their ideas, see: G. Strawson, "The Impossibility of Moral Responsibility," *Philosophical Studies* 75 (1994): 5; D. Pereboom, *Living without Free Will* (Cambridge University Press, 2001); G. Caruso, *Rejecting Retributivism: Free Will, Punishment, and Criminal Justice* (Cambridge University Press, 2021); N. Levy, *Hard Luck: How Luck Undermines Free Will and Moral Responsibility* (Oxford University Press, 2011); and S. Harris, *Free Will* (Simon & Schuster, 2012).

For a somewhat different take, but in a similar spirit, see B. Waller, *Against Moral Responsibility* (MIT Press, 2011).

Similar broad rejection of free will comes in the writings of scientists such as evolutionary biologist Jerry Coyne of the University of Chicago, psychologist/neuroscientists Jonathan Cohen of Princeton University, Josh Greene of Harvard University, and Paul Glimcher of NYU, and molecular biology god the late Francis Crick.

A small number of legal scholars, such as Pete Alces of William & Mary Law School, break stride with the basic assumptions of their field in also rejecting the existence of free will.

4. M. Vargas, "Reconsidering Scientific Threats to Free Will," in *Moral Psychology*, vol. 4, *Free Will and Moral Responsibility*, ed. W. Sinnott-Armstrong (MIT Press, 2014).
5. R. Baumeister, "Constructing a Scientific Theory of Free Will," in *Moral Psychology*, vol. 4, *Free Will and Moral Responsibility*, ed. W. Sinnott-Armstrong (MIT Press, 2014).
6. A. Mele, "Free Will and Substance Dualism: The Real Scientific Threat to Free Will?," in *Moral Psychology*, vol. 4, *Free Will and Moral Responsibility*, ed. W. Sinnott-Armstrong.
7. R. Nisbett and T. Wilson, "Telling More Than We Can Know: Verbal Reports on Mental Processes," *Psychological Review* 84 (1977): 231.

## 2. THE FINAL THREE MINUTES OF A MOVIE

1. Footnote: J. McHugh and P. Mackowiak, "Death in the White House: President William Henry Harrison's Atypical Pneumonia," *Clinical Infectious Diseases* 59 (2014): 990. Harrison's doctor treated him with an array of medications, which probably hastened his death. There was opium, which, as is known to opium addicts, causes major constipation, allowing the typhoid bacteria to linger longer, dividing. He was also given carbonated alkali, which probably impaired the ability of stomach acids to kill the bacteria. And just for good measure and for no clear reason, he was also given considerable amounts of mercury, which is neurotoxic. McHugh and Mackowiak convincingly suggest that enteric disease from contaminated water made James Polk seriously ill while president and killed Zachary Taylor while in office.
2. Libet published his initial data in B. Libet et al., "Time of Conscious Intention to Act in Relation to Onset of Cerebral Activity (Readiness-Potential): The Unconscious Initiation of a Freely Voluntary Act," *Brain: A Journal of Neurology* 106 (1983): 623; "Infamous": E. Nahmias, "Intuitions about Free Will, Determinism, and Bypassing," in *The Oxford Handbook of Free Will*, 2nd ed., ed. R. Kane (Oxford University Press, 2011).
3. P. Sanford et al., "Libet's Intention Reports Are Invalid: A Replication of Dominik et al. (2017)," *Consciousness and Cognition* 77 (2020): 102836. This paper was in response to an earlier one: T. Dominik et al., "Libet's Experiment: Questioning the Validity of Measuring the Urge to Move," *Consciousness and Cognition* 49 (2017): 255. Media accounts of the Libet experiment: E. Racine et al., "Media Portrayal of a Landmark Neuroscience Experiment on Free Will," *Science Engineering Ethics* 23 (2007): 989.
4. P. Haggard, "Decision Time for Free Will," *Neuron* 69 (2011): 404; P. Haggard and M. Eimer, "On the Relation between Brain Potentials and the Awareness of Voluntary Movements," *Experimental Brain Research* 126 (1999): 128.
5. J.-D. Haynes, "The Neural Code for Intentions in the Human Brain," in *Bioprediction, Biomarkers, and Bad Behavior*, ed. I. Singh and W. Sinnott-Armstrong (Oxford University Press, 2013); S. Bode and J. Haynes, "Decoding Sequential Stages of Task Preparation in the Human Brain," *Neuroimage* 45 (2009): 606; S. Bode et al., "Tracking the Unconscious Generation of Free Decisions Using

Ultra-high Field fMRI,” PLoS One 6, no. 6 (2011): e21612; C. Soon et al., “Unconscious Determinants of Free Decisions in the Human Brain,” *Nature Neuroscience* 11 (2008): 543. The SMA as a gateway (footnote): R. Sjöberg, “Free Will and Neurosurgical Resections of the Supplementary Motor Area: A Critical Review,” *Acta Neurochirurgica* 163 (2021): 1229.

6. I. Fried, R. Mukamel, and G. Kreiman, “Internally Generated Preactivation of Single Neurons in Human Medial Frontal Cortex Predicts Volition,” *Neuron* 69 (2011): 548; I. Fried, “Neurons as Will and Representation,” *Nature Reviews Neuroscience* 23 (2022): 104; H. Gelbard-Sagiv et al., “Internally Generated Reactivation of Single Neurons in Human Hippocampus during Free Recall,” *Science* 322 (2008): 96.
7. Bell ringing delayed: W. Banks and E. Isham, “We Infer Rather Than Perceive the Moment We Decided to Act,” *Psychological Science* 20 (2009): 17. Effect of happiness on readiness potential: D. Rigoni, J. Demanet, and G. Sartori, “Happiness in Action: The Impact of Positive Affect on the Time of the Conscious Intention to Act,” *Frontiers in Psychology* 6 (2015): 1307. Also see H. Lau et al., “Attention to Intention,” *Science* 303 (2004): 1208.
8. M. Desmurget et al., “Movement Intention after Parietal Cortex Stimulation in Humans,” *Science* 324 (2009): 811.
9. Anarchic hand syndrome: C. Marchetti and S. Della Sala, “Disentangling the Alien and Anarchic Hand,” *Cognitive Neuropsychiatry* 3 (1998): 191; S. Della Sala, C. Marchetti, and H. Spinnler, “Right-Sided Anarchic (Alien) Hand: A Longitudinal Study,” *Neuropsychologia* 29 (1991): 1113.
10. Transcranial magnetic stimulation: J. Brasil-Neto et al., “Focal Transcranial Magnetic Stimulation and Response Bias in a Forced-Choice Task,” *Journal of Neurology, Neurosurgery and Psychiatry* 55 (1992): 964. Magicians: A. Pailhès and G. Kuhn, “Mind Control Tricks: Magicians’ Forcing and Free Will,” *Trends in Cognitive Sciences* 25 (2021): 338; H. Kelley, “Magic Tricks: The Management of Causal Attributions,” in *Perspectives on Attribution Research and Theory: The Bielefeld Symposium*, ed. D. Gorlitz (Ballinger, 1980).

Footnote: D. Knob et al., “Diminishing Reciprocal Fairness by Disrupting the Right Prefrontal Cortex,” *Science* 314 (2006): 829.

11. D. Wegner, *The Illusion of Conscious Will* (MIT Press, 2002).
12. Footnote (p. 26): P. Tse, “Two Types of Libertarian Free Will Are Realized in the Human Brain,” in *Neuroexistentialism*, ed. G. Caruso (Oxford University Press, 2017).
13. Libet’s overview: B. Libet, “Unconscious Cerebral Initiative and the Role of Conscious Will in Voluntary Action,” *Behavioral and Brain Sciences* 8 (1985): 529. Criticisms of the Libet study: R. Doty, “The Time Course of Conscious Processing: Vetoes by the Uninformed?,” *Behavioral and Brain Sciences* 8 (1985): 541; C. Wood, “Pardon, Your Dualism Is Showing,” *Behavioral and Brain Sciences* 8 (1985): 557; G. Wasserman, “Neural/Mental Chronometry and Chronotheology,” *Behavioral and Brain Sciences* 8 (1985): 556.
14. M. Vargas, “Reconsidering Scientific Threats to Free Will,” in *Moral Psychology*, vol. 4, *Free Will and Moral Responsibility*, ed. W. Sinnott-Armstrong (MIT Press, 2014).
15. Both viewpoints in K. Smith, “Taking Aim at Free Will,” *Nature* 477 (2011): 23.
16. Driving simulation: O. Perez et al., “Preconscious Prediction of a Driver’s Decision Using Intracranial Recordings,” *Journal of Cognitive Neuroscience* 27 (2015): 1492. Bungee jumping: Nann et al., “To Jump or Not to Jump—the Bereitschaftspotential Required to Jump into 192-Meter Abyss,” *Science Reports* 9 (2019): 2243.
17. U. Maoz et al., “Neural Precursors of Decisions That Matter—an ERP Study of Deliberate and Arbitrary Choice,” *eLife* 8 (2019): e39787. For the quote, see Daniel Dennett, “Is Free Will an Illusion? What Can Cognitive Science Tell Us?,” Santa Fe Institute, May 14, 2014, YouTube video, 1:21:19, [youtube.com/watch?v=wGPIzSe5cAU&t=3890s](https://www.youtube.com/watch?v=wGPIzSe5cAU&t=3890s), around 41:00.
18. This and related studies are discussed in Haynes, “Neural Code for Intentions.”
19. O. Bai et al., “Prediction of Human Voluntary Movement Before It Occurs,” *Clinical Neurophysiology* 122 (2011): 364.
20. Nearly forty years after Libet: A. Schurger et al., “What Is the Readiness Potential?,” *Trends in Cognitive Science* 25 (2010): 558. Urge versus decision: S. Pockett and S. Purdy, “Are Voluntary Movements Initiated Preconsciously? The Relationships between Readiness Potentials, Urges and Decisions,” in *Conscious Will*

and Responsibility: A Tribute to Benjamin Libet, ed. W. Sinnott-Armstrong and L. Nadel (Oxford University Press, 2020). The Gazzaniga quote comes from M. Gazzaniga, “On Determinism and Human Responsibility,” in Neuroexistentialism, ed. G. Caruso (Oxford University Press, 2017).

21. The Mele quote is from A. Mele, *Free: Why Science Hasn't Disproved Free Will* (Oxford University Press, 2014), 32. Roskies is quoted in K. Smith, “Taking Aim at Free Will,” *Nature* 477 (2011): 2, on page 24.
22. New insights about comas (from the footnote): A. Owen et al., “Detecting Awareness in the Vegetative State,” *Science* 313 (2006): 1402; M. Monti et al., “Willful Modulation of Brain Activity in Disorders of Consciousness,” *New England Journal of Medicine* 362 (2010): 579.
23. M. Shadlen and A. Roskies, “The Neurobiology of Decision-Making and Responsibility: Reconciling Mechanism and Mindedness,” *Frontiers in Neuroscience* 6 (2012), doi.org/10.3389/fnins.2012.00056.
24. A. Schlegel et al., “Hypnotizing Libet: Readiness Potentials with Non-conscious Volition,” *Consciousness and Cognition* 33 (2015): 196.
25. Caruso explores this idea in a number of publications, most recently in his excellent G. Caruso, *Rejecting Retributivism: Free Will, Punishment, and Criminal Justice* (Cambridge University Press, 2021). To me, at least, issues of whether preconsciousness and consciousness can exist simultaneously take us into the philosophical underbrush. For true aficionados, this brings up the frothy but influential ideas of philosopher Jaegwon Kim of Brown University. If I understand it: (a) assume that conscious mental states, while the emergent product of underlying physical properties (i.e., thingies like molecules and neurons), are different from them; (b) something like a behavior cannot be caused by both a mental state and its underlying physical bases (which came to be called Kim’s “causal exclusion principle”); (c) physical events (like pushing a button or moving your tongue and larynx to tell your generals to start a war) are caused by prior physical events. So mental states don’t cause behaviors. I guess this is kind of interesting. Well, maybe not, because in my view, mental states and their underlying physical/neurobiological bases can’t be separated—they’re just two different conceptual entry points to considering the same processes. More of this in later chapters. Some of his papers: J. Kim, “Concepts of Supervenience,” *Philosophy and Phenomenological Research*

45 (1984): 153; J. Kim, "Making Sense of Emergence," *Philosophical Studies* 95 (1995): 3.

26. E. Nahmias, "Intuitions about Free Will, Determinism, and Bypassing," in *The Oxford Handbook of Free Will*, 2nd ed., ed. R. Kane (New York: Oxford University Press, 2011).

27. Do it or not study: E. Filevich, S. Kuhn, and P. Haggard, "There Is No Free Won't: Antecedent Brain Activity Predicts Decisions to Inhibit," *PLoS One* 8, no. 2 (2013): e53053. Brain-computer interface study: M. Schultze-Kraft et al., "The Point of No Return in Vetoing Self-Initiated Movements," *Proceedings of the National Academy of Sciences of the United States of America* 113 (2016): 1080.

28. Footnote: Libet's first report of his findings: Libet et al., "Time of Conscious Intention to Act." His 1985 discussion of it is found in Libet, "Unconscious Cerebral Initiative."

29. Gambling study: D. Campbell-Meiklejohn et al., "Knowing When to Stop: The Brain Mechanisms of Chasing Losses," *Biological Psychiatry* 63 (2008): 293. Alcohol on board: Y. Liu et al., "'Free Won't' after a Beer or Two: Chronic and Acute Effects of Alcohol on Neural and Behavioral Indices of Intentional Inhibition," *BMC Psychology* 8 (2020): 2. Kids versus adults: M. Schel, K. Ridderinkhof, and E. Crone, "Choosing Not to Act: Neural Bases of the Development of Intentional Inhibition," *Developmental Cognitive Neuroscience* 10 (2014): 93.

30. "Freedom arises from": B. Brembs, "Towards a Scientific Concept of Free Will as a Biological Trait: Spontaneous Actions and Decision-Making in Invertebrates," *Proceedings of the Royal Society B: Biological Sciences* 278 (2011): 930; the paper approaches the topic from the very unorthodox (and interesting) angle of examining decision-making in insects. Mele quote: Mele, Free, 32.

31. N. Levy, *Hard Luck: How Luck Undermines Free Will and Moral Responsibility* (Oxford University Press, 2011).

32. Footnote: H. Frankfurt, "Alternate Possibilities and Moral Responsibility," *Journal of Philosophy* 66 (1969): 829.

33. H. Frankfurt, "Three Concepts of Free Action," *Aristotelian Society Proceedings, Supplementary Volumes* 49 (1975): 113, quote on p. 122; M. Shadlen and A. Roskies, "The Neurobiology of Decision-making and Responsibility: Reconciling

Mechanism and Mindedness,” *Frontiers in Neuroscience* 23 April (2012): 1, quote is on p. 10.

Footnote: Sjöberg, “Free Will and Neurosurgical Resections.”

34. D. Dennett, *Freedom Evolves* (Penguin, 2004); the quote comes from p. 276. Dennett also expresses these ideas in a wide variety of his other books, e.g., D. Dennett, *Elbow Room: The Varieties of Free Will Worth Wanting* (MIT Press, 1984); D. Dennett, *Freedom Evolves* (Viking, 2003); his lectures, e.g., Dennett, “Is Free Will an Illusion?”; and his debates, e.g., D. Dennett and G. Caruso, *Just Deserts: Debating Free Will* (Polity, 2021);

35. N. Levy, “Luck and History-Sensitive Compatibilism,” *Philosophical Quarterly* 59 (2009): 237, the quote comes from p. 244; D. Dennett, “Review of ‘Against Moral Responsibility,’” in *Naturalism*, <https://www.naturalism.org/resources/book-reviews/dennett-review-of-against-moral-responsibility>.

As a theme in this chapter, people have been arguing about Libetian issues for forty years, and the references cited barely scratched the surface of really interesting takes on these issues. Others include: G. Gomes, “The Timing of Conscious Experience: A Critical Review and Reinterpretation of Libet’s Research,” *Consciousness and Cognition* 7 (1998): 559; A. Batthyany, “Mental Causation and Free Will after Libet and Soon: Reclaiming Conscious Agency,” in *Irreducibly Conscious: Selected Papers on Consciousness*, ed. A. Batthyany and A. Elitzur (Universitäts-Verlag Winter, 2009); A. Lavazza, “Free Will and Neuroscience: From Explaining Freedom Away to New Ways of Operationalizing and Measuring It,” *Frontiers in Human Neuroscience* 10 (2016): 262; C. Frith, S. Blakemore, and D. Wolpert, “Abnormalities in the Awareness and Control of Action,” *Philosophical Transactions of the Royal Society B: Biological Sciences* 355 (2000): 1404; A. Guggisberg and A. Mottaz, “Timing and Awareness of Movement Decisions: Does Consciousness Really Come Too Late?,” *Frontiers of Human Neuroscience* 7 (2013), doi.org/10.3389/fnhum.2013.00385; T. Bayne, “Neural Decoding and Human Freedom,” in *Moral Psychology* vol. 4, *Free Will and Moral Responsibility*, ed. W. Sinnott-Armstrong (MIT Press, 2014).

### 3. WHERE DOES INTENT COME FROM?

1. Implicit bias and shootings: J. Correll et al., “Across the Thin Blue Line: Police Officers and Racial Bias in the Decision to Shoot,” *Journal of Personality and Social Psychology* 92 (2007): 1006; J. Correll et al., “The Police Officer’s Dilemma: Using Ethnicity to Disambiguate Potentially Threatening Individuals,” *Journal of Personality and Social Psychology* 83 (2002): 1314. For an excellent overview of the entire field, see J. Eberhardt, *Biased: Uncovering the Hidden Prejudice That Shapes What We See, Think, and Do* (Viking, 2019).
2. Implicit effects of disgust: D. Pizarro, Y. Inbar, and C. Helion, “On Disgust and Moral Judgment,” *Emotion Review* 3 (2011): 267; T. Adams, P. Stewart, and J. Blanchard, “Disgust and the Politics of Sex: Exposure to a Disgusting Odor-ant Increases Politically Conservative Views on Sex and Decreases Support for Gay Marriage,” *PLoS One* 9 (2014): e95572; Y. Inbar, D. Pizarro, and P. Bloom, “Disgusting Smells Cause Decreased Liking of Gay Men,” *Emotion* 12 (2012): 23; J. Terrizzi, N. Shook, and W. Ventis, “Disgust: A Predictor of Social Conservatism and Prejudicial Attitudes Toward Homosexuals,” *Personality and Individual Differences* 49 (2010): 587.
3. More disgust: S. Tsao and D. McKay, “Behavioral Avoidance Tests and Disgust in Contamination Fears: Distinctions from Trait Anxiety,” *Behavioral Research Therapeutics* 42 (2004): 207; B. Olatunji, B. Puncochar, and R. Cox, “Effects of Experienced Disgust on Morally-Relevant Judgments,” *PLoS One* 11 (2016): e0160357.
4. And more disgust: H. Chapman and A. Anderson, “Things Rank and Gross in Nature: A Review and Synthesis of Moral Disgust,” *Psychological Bulletin* 139 (2013): 300; P. Rozin et al., “The CAD Triad Hypothesis: A Mapping between Three Moral Emotions (Contempt, Anger, Disgust) and Three Moral Codes (Community, Autonomy, Divinity),” *Journal of Personality and Social Psychology* 76 (1999): 574. The insula, when activated by aversive emotional states, talking to the amygdala: D. Gehrlach et al., “Aversive State Processing in the Posterior Insular Cortex,” *Nature Neuroscience* 22 (2019): 1424.
5. Implicit effects of sweet tastes: M. Schaefer et al., “Sweet Taste Experience Improves Prosocial Intentions and Attractiveness Ratings,” *Psychological Research* 85 (2021): 1724; B. Meier et al., “Sweet Taste Preferences and Experiences Predict

Prosocial Inferences, Personalities, and Behaviors," Psychological Sciences 102 (2012): 163.

6. Confusing beauty and moral goodness: Q. Cheng et al., "Neural Correlates of Moral Goodness and Moral Beauty Judgments," Brain Research 1726 (2020): 146534; T. Tsukiura and R. Cabeza, "Shared Brain Activity for Aesthetic and Moral Judgments: Implications for the Beauty-Is-Good Stereotype," Social Cognitive and Affective Neuroscience 6 (2011): 138; X. Cui et al., "Different Influences of Facial Attractiveness on Judgments of Moral Beauty and Moral Goodness," Science Reports 9 (2019): 12152; T. Wang et al., "Is Moral Beauty Different from Facial Beauty? Evidence from an fMRI Study," Social Cognitive and Affective Neuroscience 10 (2015): 814; Q. Luo et al., "The Neural Correlates of Integrated Aesthetics between Moral and Facial Beauty," Science Reports 9 (2019): 1980; C. Ferrari et al., "The Dorsomedial Prefrontal Cortex Mediates the Interaction between Moral and Aesthetic Valuation: A TMS Study on the Beauty-Is-Good Stereotype," Social Cognitive and Affective Neuroscience 12 (2017): 707.

Then there's an irresistible study showing that botanists choose to spend their careers studying prettier flowers (blue ones, taller ones): M. Adamo et al., "Plant Scientists' Research Attention Is Skewed towards Colourful, Conspicuous and Broadly Distributed Flowers," Nature Plants 7 (2021): 574. To the best of my knowledge, I did not choose to devote thirty-three summers to studying wild baboons because I thought they were as pretty as a picture.

7. The initial study that introduced the term "Macbeth effect": C. Zhong and K. Liljenquist, "Washing Away Your Sins: Threatened Morality and Physical Cleansing," Science 313 (2006): 1454.

Additional behavior studies of the Macbeth effect: S. W. Lee and N. Schwarz, "Dirty Hands and Dirty Mouths: Embodiment of the Moral-Purity Metaphor Is Specific to the Motor Modality Involved in Moral Transgression," Psychological Sciences 21 (2010): 1423; E. Kalanthroff, C. Aslan, and R. Dar, "Washing Away Your Sins Will Set Your Mind Free: Physical Cleansing Modulates the Effect of Threatened Morality on Executive Control," Cognition and Emotion 31 (2017): 185; S. Schnall, J. Benton, and S. Harvey, "With a Clean Conscience: Cleanliness Reduces the Severity of Moral Judgments," Psychological Sciences 19 (2008): 1219; K. Kaspar, V. Krapp, and P. Konig, "Hand Washing Induces a Clean Slate Effect in

Moral Judgments: A Pupillometry and Eye-Tracking Study,” *Scientific Reports* 5 (2015): 10471.

Brain imaging studies of the Macbeth effect: C. Denke et al., “Lying and the Subsequent Desire for Toothpaste: Activity in the Somatosensory Cortex Predicts Embodiment of the Moral-Purity Metaphor,” *Cerebral Cortex* 26 (2016): 477; M. Schaefer et al., “Dirty Deeds and Dirty Bodies: Embodiment of the Macbeth Effect Is Mapped Topographically onto the Somatosensory Cortex,” *Scientific Reports* 6 (2015): 18051.

For a study suggesting that this linkage may not be universal: E. Gámez, J. M. Díaz, and H. Marrero, “The Uncertain Universality of the Macbeth Effect with a Spanish Sample,” *Spanish Journal of Psychology* 14 (2011): 156.

Finally, one study showing that among university students, social science majors are more vulnerable to the Macbeth effect than are engineering students: M. Schaefer, “Morality and Soap in Engineers and Social Scientists: The Macbeth Effect Interacts with Professions,” *Psychological Research* 83 (2019): 1304.

8. Ginger and moral disgust: J. Tracy, C. Steckler, and G. Heltzel, “The Physiological Basis of Psychological Disgust and Moral Judgments,” *Journal of Personality and Social Psychology: Attitudes and Social Cognition* 116 (2019): 15. An interesting paper showing that disgust influences moral judgments less concerning distant events, and that this is probably mediated by a psychological framing where it is someone else, rather than you, who has to directly interact with the disgusting stimulus: M. van Dijke et al., “So Gross and Yet So Far Away: Psychological Distance Moderates the Effect of Disgust on Moral Judgment,” *Social Psychological and Personality Science* 9 (2018): 689.
9. The original study of judges: S. Danziger, J. Levav, and L. Avnaim-Pesso, “Extraneous Factors in Judicial Decisions,” *Proceedings of the National Academy of Science of the United States of America* 108 (2011): 6889. This study was challenged by some other researchers, suggesting that the finding is an artifact of poor study design; in my opinion, the original authors effectively rebutted these charges. See notes 28 and 29 in chapter 4 for details about this.

More on the topic: L. Aaroe and M. Petersen, “Hunger Games: Fluctuations in Blood Glucose Levels Influence Support for Social Welfare,” *Psychological Sciences* 24 (2013): 2550.

A connection between hunger for food and for money: B. Briers et al., “Hungry for Money: The Desire for Caloric Resources Increases the Desire for Financial Resources and Vice Versa,” *Psychological Sciences* 17 (2006): 939.

Some circumstance where the connection is demonstrable only in some domains: J. Hauser et al., “Acute Hunger Does Not Always Undermine Prosociality,” *Nature Communications* 10 (2019): 4733; S. Fraser and D. Nettle, “Hunger Affects Social Decisions in a Multi-round Public Goods Game but Not a Single-Shot Ultimatum Game,” *Adaptive Human Behavior* 6 (2020): 334; I. Harel and T. Kogut, “Visceral Needs and Donation Decisions: Do People Identify with Suffering or with Relief?,” *Journal of Experimental and Social Psychology* 56 (2015): 24.

As is so often the case, the suggestion that this phenomenon is influenced by culture: E. Rantapuska et al., “Does Short-Term Hunger Increase Trust and Trustworthiness in a High Trust Society?,” *Frontiers of Psychology* 8 (2017): 1944.

10. For more details about this general topic, see chapter 3 in R. Sapolsky, *Behave: The Biology of Humans at Our Best and Worst* (Penguin Press, 2017).
11. The classic study demonstrating that testosterone does not generate aggression *de novo* but, instead, amplifies preexisting social learning about aggression: A. Dixson and J. Herbert, “Testosterone, Aggressive Behavior and Dominance Rank in Captive Adult Male Talapoin Monkeys (*Miopithecus talapoin*)”, *Physiology and Behavior* 18 (1977): 539.

How some of the behavioral effects of testosterone arise from their effects in the brain: K. Kendrick and R. Drewett, “Testosterone Reduces Refractory Period of Stria Terminalis Neurons in the Rat Brain,” *Science* 204 (1979): 877; K. Kendrick, “Inputs to Testosterone-Sensitive Stria Terminalis Neurones in the Rat Brain and the Effects of Castration,” *Journal of Physiology* 323 (1982): 437; K. Kendrick, “The Effect of Castration on Stria Terminalis Neurone Absolute Refractory Periods Using Different Antidromic Stimulation Loci,” *Brain Research* 248 (1982): 174; K. Kendrick, “Electrophysiological Effects of Testosterone on the Medial Preoptic-Anterior Hypothalamus of the Rat,” *Journal of Endocrinology* 96 (1983): 35; E. Hermans, N. Ramsey, and J. van Honk, “Exogenous Testosterone Enhances Responsiveness to Social Threat in the Neural Circuitry of Social Aggression in Humans,” *Biological Psychiatry* 63 (2008): 263.

In 1990, the ethologist John Wingfield of the University of California at

Davis, along with colleagues, published an immensely influential paper about the nature of testosterone's effects on aggression. Their "Challenge Hypothesis" posits that not only does testosterone not cause aggression, it doesn't uniformly just amplify preexisting social tendencies toward aggression either. Instead, at times when an organism is challenged for social status, testosterone amplifies whatever behaviors are needed to maintain status. Well, that doesn't seem like much of an elaboration—if you're a male baboon whose rank is being challenged, aggression is what you need to maintain status. But when it comes to humans, there are greater subtleties, because status can be maintained in different ways. For example, in an economic game where status is accrued through generous economic offers, testosterone increases such generosity. See: J. Wingfield et al., "The 'Challenge Hypothesis': Theoretical Implications for Patterns of Testosterone Secretion, Mating Systems, and Breeding Strategies," *American Naturalist* 136 (1990): 829. The hypothesis helps explain a wide range of testosterone-dependent behaviors: J. Wingfield "The Challenge Hypothesis: Where It Began and Relevance to Humans," *Hormones and Behavior* 92 (2017): 9. Also see: J. Archer, "Testosterone and Human Aggression: An Evaluation of the Challenge Hypothesis," *Neuroscience and Biobehavioral Reviews* 30 (2006): 319.

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#### 4. WILLING WILLPOWER: THE MYTH OF GRIT

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## 5. A PRIMER ON CHAOS

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Okay, I have a horrible confession to make. On p. 138, there is the picture of the wildly chaotic, thoroughly unpredictable complex cellular automata that can be generated with rule 22. Here’s the confession: this isn’t actually made with rule 22; instead, it’s made with the closely related rule 90. The visual showing a crazily complex wonderful version of rule 22 was of terrible quality, I couldn’t find anything better, made no headway in getting the Wolfram Empire to send a higher-resolution of the visual . . . and in a moment in the dark of night that tests one’s soul, with the clock ticking, I decided to stick in a cool visual generated with rule 90 instead. It makes the same point—knowing the starting state and reproduction rule (90, in this case) gives you zero predictability as to what a complex version is going to look like. In fact, it makes a point about the chaoticism of cellular automata even more powerful—no one (hopefully? please) looking at it could tell

that this complex pattern arose from application of rule 22 or rule 90. Now that's off my chest.

## 6. IS YOUR FREE WILL CHAOTIC?

1. Ideas discussed in: D. Porush, "Making Chaos: Two Views of a New Science," *New England Review and Bread Loaf Quarterly* 12 (1990): 439.
2. A sampling: M. Cutright, *Chaos Theory and Higher Education: Leadership, Planning, and Policy* (Peter Lang, 2001); S. Sule and S. Nilhan, *Chaos, Complexity and Leadership 2018: Explorations of Chaotic and Complexity Theory* (Springer, 2020); E. Peters, *Fractal Market Analysis: Applying Chaos Theory to Investment and Economics* (Wiley, 1994); R. Pryor, *The Chaos Theory of Careers* (Routledge, 2011); K. Yas et al., "From Natural to Artificial Selection: A Chaotic Reading of Shelagh Stephenson's *An Experiment with an Air Pump* (1998)," *International Journal of Applied Linguistics and English Literature* 7 (2018): 23; A. McLachlan, "Same but Different: Chaos and TV Drama Narratives" (doctoral thesis, Victoria University, Wellington, New Zealand, 2019), [Harvard Theological Review 84 \(1991\): 447; J. Eigenauer, "The Humanities and Chaos Theory: A Response to Steenburg's 'Chaos at the Marriage of Heaven and Hell,'" \*Harvard Theological Review\* 86 \(1993\): 455; D. Steenburg, "A Response to John D. Eigenauer," \*Harvard Theological Review\* 86 \(1993\): 471.](http://hdl.handle.net. Theological musings: D. Gray, <i>Toward a Theology of Chaos: The New Scientific Paradigm and Some Implications for Ministry</i> (Citeseer, 1997); D. Steenburg, )

Footnote: J. Bassingthwaight, L. Liebovitch, and B. West, *Fractal Physiology* (American Physiological Society, 1994); N. Schweigofer et al., "Chaos May Enhance Information Transmission in the Inferior Olive," *Proceedings of the National Academy of Sciences of the United States of America* 101 (2004): 4655.

3. Simpsons Wiki, s.v. "Chaos Theory in Baseball Analysis," [simpsons.fandom.com/wiki/Chaos\\_Theory\\_in\\_Baseball\\_Analysis](https://simpsons.fandom.com/wiki/Chaos_Theory_in_Baseball_Analysis); M. Farmer, *Chaos Theory, Nerds of Paradise book 2* (Amazon.com Services, 2017).
4. G. Eilenberger, "Freedom, Science, and Aesthetics," in *The Beauty of Fractals*, ed. H. Peitgen and P. Richter (Springer, 1986), p. 179.

5. K. Clancy, "Your Brain Is on the Brink of Chaos," *Nautilus*, Fall 2014, 144.
6. Farmer quoted in James Gleick, *Chaos: Making a New Science* (Viking, 1987), p. 251.
7. Steenburg, "Chaos at the Marriage."
8. Eilenberger, "Freedom, Science, and Aesthetics," p. 176.
9. A. Maar, "Kinds of Determinism in Science," *Principia* 23 (2019): 503. For a comparison of encompassing versus individual determinism, see: J. Doomen, "Cornering 'Free Will,'" *Journal of Mind and Behavior* 32 (2011): 165; H. Atmanspacher, "Determinism Is Ontic, Determinability Is Epistemic," in *Between Chance and Choice: Interdisciplinary Perspectives on Determinism*, ed. R. Bishop and H. Atmanspacher (Imprint Academic, 2002). To get further into the weeds with the likes of "partial determinism" and "adequate determinism," see: J. Earman, *A Primer on Determinism* (Reidel, 1986); S. Kellert, *In the Wake of Chaos: Unpredictable Order in Dynamic Systems* (University of Chicago Press, 1993).
10. S. Caprara and A. Vulpiani, "Chaos and Stochastic Models in Physics: Ontic and Epistemic Aspects," in *Models and Inferences in Science. Studies in Applied Philosophy, Epistemology and Rational Ethics*, vol. 25, ed. E. Ippoliti, F. Sterpetti, and T. Nickles (Springer, 2016), p. 133; G. Hunt, "Determinism, Predictability and Chaos," *Analysis* 47 (1987): 129; M. Stone, "Chaos, Prediction and Laplacean Determinism," *American Philosophical Quarterly* 26 (1989): 123; V. Batitsky and Z. Domotor, "When Good Theories Make Bad Predictions," *Synthese* 157 (2007): 79.
11. W. Seeley, "Behavioral Variant Frontotemporal Dementia," *Continuum* 25 (2019): 76; R. Dawkins, *The Blind Watchmaker* (Norton, 1986), p. 9.
12. W. Farnsworth and M. Grady, *Torts: Cases and Questions*, 3rd ed. (Wolters Kluwer, 2019).
13. R. Sapolsky, "Measures of Life," *The Sciences*, March/April 1994, p. 10.
14. M. Shandlen, "Comment on Adina Roskies," in *Moral Psychology*, vol. 4, *Free Will and Moral Responsibility*, ed. W. Sinnott-Armstrong (MIT Press, 2014), p. 139.

## 7. A PRIMER ON EMERGENT COMPLEXITY

1. Footnote: For more on this concept, see R. Carneiro, “The Transition from Quantity to Quality: A Neglected Causal Mechanism in Accounting for Social Evolution,” *Proceedings of the National Academy of Sciences of the United States of America* 97 (2000): 12926.
2. For some impressive examples, see: W. Tschinkel, “The Architecture of Subterranean Ant Nests: Beauty and Mystery Underfoot,” *Journal of Bioeconomics* 17 (2015): 271; M. Bollazzi and F. Roces, “The Thermoregulatory Function of Thatched Nests in the South American Grass-Cutting Ant, *Acromyrmex heyeri*,” *Journal of Insect Science* 10 (2010): 137; I. Guimarães et al., “The Complex Nest Architecture of the Ponerinae Ant *Odontomachus chelifer*,” *PLoS One* 13 (2018): e0189896; N. Mlot, C. Tovey, and D. Hu, “Diffusive Dynamics of Large Ant Rafts,” *Communicative and Integrative Biology* 5 (2012): 590. For a theoretical approach to ant emergence, see D. Gordon, “Control without Hierarchy,” *Nature* 446 (2007): 143. For a demonstration of how it’s not all fun and games being an ant, see: N. Stroeymeyt et al., “Social Network Plasticity Decreases Disease Transmission in a Eusocial Insect,” *Science* 363 (2018): 941 (the authors show that ant networks shift so that sick ants [experimentally infected with a fungus] are ostracized to limit infectivity).
3. P. Anderson, “More Is Different,” *Science* 177 (1972): 393. Back to the fact that a single molecule of water cannot possess the property of “wetness”— it also cannot possess the property of surface tension (the emergent feature of water that allows basilisk Jesus lizards to run across the surface of a pond).
4. For an analysis of how people move in crowds in ways that resemble the fluid dynamics of waterfalls, see N. Bain and D. Bartolo, “Dynamic Response and Hydrodynamics of Polarized Crowds,” *Science* 363 (2019): 46. For something similar in ants, see A. Dussutour et al., “Optimal Travel Organization in Ants under Crowded Conditions,” *Nature* 428 (2003): 70.
5. Footnote: Discussed at length in P. Hiesenger, *The Self-Assembling Brain: How Neural Networks Grow Smarter* (Princeton University Press, 2021).
6. M. Bedau, “Is Weak Emergence Just in the Mind?,” *Minds and Machines* 18 (2008): 443; J. Kim, “Making Sense of Emergence,” *Philosophical Studies* 95

(1999): 3; O. Sartenaer, "Sixteen Years Later: Making Sense of Emergence (Again)," *Journal of General Philosophical Sciences* 47 (2016): 79.

7. E. Bonabeau and G. Theraulaz, "Swarm Smarts," *Scientific American* 282, no. 3 (2000): 72; M. Dorigo and T. Stutzle, *Ant Colony Optimization* (MIT Press, 2004); S. Garnier, J. Gautrais, and G. Theraulaz, "The Biological Principles of Swarm Intelligence," *Swarm Intelligence* 1 (2007): 3 (note that this topic was the first paper published in the history of this journal, which kind of makes sense, given its title).
8. L. Chen, D. Hall, and D. Chklovskii, "Wiring Optimization Can Relate Neuronal Structure and Function," *Proceedings of the National Academy of Sciences of the United States of America* 103 (2006): 4723; M. Rivera-Alba et al., "Wiring Economy and Volume Exclusion Determine Neuronal Placement in the *Drosophila* Brain," *Current Biology* 21 (2011): 2000; J. White et al., "The Structure of the Nervous System of the Nematode *Caenorhabditis elegans*," *Philosophical Transactions of the Royal Society B, Biological Sciences* 314 (1986): 1; V. Klyachko and C. Stevens, "Connectivity Optimization and the Positioning of Cortical Areas," *Proceedings of the National Academy of Sciences of the United States of America* 100 (2003): 7937; G. Mitchison, "Neuronal Branching Patterns and the Economy of Cortical Wiring," *Proceedings of the Royal Society B: Biological Sciences* 245 (1991): 151.
9. Y. Takeo et al., "GluD2- and Cbln1-Mediated Competitive Interactions Shape the Dendritic Arbor of Cerebellar Purkinje Cells," *Neuron* 109 (2020): 629.
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11. P. Visscher, "How Self-Organization Evolves," *Nature* 421 (2003): 799; M. Myerson, "Dancing for a Decision: A Matrix Model for Net-Site Choice by Honey Bees," *Proceedings of the Royal Society of London B* 270 (2003): 577; D. Gordon, "The Rewards of Restraint in the Collective Regulation of Foraging by Harvester Ant Colonies," *Nature* 498 (2013): 91; D. Gordon, "The Ecology of Collective Behavior," *PLOS Biology* 12, no. 3 (2014): e1001805. For additional studies in this

area see: J. Deneubourg and S. Goss, “Collective Patterns and Decision Making,” *Ethology Ecology and Evolution* 1 (1989): 295; S. Edwards and S. Pratt, “Rationality in Collective Decision-Making by Ant Colonies,” *Proceedings of the Royal Society B* 276 (2009): 3655; E. Bonabeau et al., “Self-Organization in Social Insects,” *Trends in Ecology and Evolution* 12 (1997): 188.

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Second footnote: R. Goldstone, M. Roberts, and T. Gureckis, “Emergent Processes in Group Behavior,” *Current Directions in the Psychological Sciences* 17 (2008): 10; C. Doctorow, “A Catalog of Ingenious Cheats Developed by Machine-Learning Systems,” *BoingBoing*, November 12, 2018, [boingboing.net/2018/11/12/local-optima-r-us.html](http://boingboing.net/2018/11/12/local-optima-r-us.html).

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13. S. Tero et al., “Rules for Biologically Inspired Adaptive Network Design,” *Science* 327 (2010): 439.
14. For another example of slime molds, see L. Tweedy et al., “Seeing around Corners: Cells Solve Mazes and Respond at a Distance Using Attractant Breakdown,” *Science* 369 (2020): 1075.
15. Footnote: Hiesenger, Self-Assembling Brain. For an example of repulsion, see D. Pederick et al., “Reciprocal Repulsions Instruct the Precise Assembly of Parallel Hippocampal Networks,” *Science* 372 (2021): 1058. See also: L. Luo, “Actin Cytoskeleton Regulation in Neuronal Morphogenesis and Structural Plasticity,” *Annual Review of Cellular Developmental Biology* 18 (2002): 601; J. Raper and C. Mason, “Cellular Strategies of Axonal Pathfinding,” *Cold Spring Harbor Perspectives in Biology* 2 (2010): a001933.

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P. Scheiffele, "Architects of Neuronal Wiring," *Science* 364 (2019): 437; O. Urwyler et al., "Branch-Restricted Localization of Phosphatase Prl-1 Specifies Axonal Synaptogenesis Domains," *Science* 364 (2019): 454; E. Favuzzi et al., "Distinct Molecular Programs Regulate Synapse Specificity in Cortical Inhibitory Circuits," *Science* 363 (2019): 413.

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Power law distributions and:

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Warfare and hate groups: N. Gilbert, "Modelers Claim Wars Are Predictable," *Nature* 462 (2009): 836; N. Johnson et al., "Hidden Resilience and Adaptive Dynamics of the Global Online Hate Ecology," *Nature* 573 (2019): 261; M. Schich et al., "Quantitative Social Science: A Network Framework of Cultural History," *Science* 345 (2014): 558.

Here's a topic that I barely understand, but I want to show off that I was able to force myself through a number of papers on the subject. A pattern can be

highly structured, with repeating building blocks; its signal in a frequency spectrum is termed “white noise.” This is akin to tight, uniform little interconnected clusters of neurons, isolated from each other. At the other extreme, a pattern that is random produces “brown noise” (named for Brownian motion, explained in chapter 9); these are connections between neurons of random distances, directions, and strengths. And as with porridge that is neither too hot nor too cold, there are patterns poised between the two extremes, termed “pink noise” (or 1/f noise). These are the networks of the brain balanced in scale-free ways between the robustness and efficiency of small, structured local networks and the creativity and evolvability of long-distance ones. The “critical brain” hypothesis posits that brains have evolved to be at this ideal spot and that this “criticality” optimizes all sorts of features of brain function. Moreover, in this model, the brain is able to correct itself as that perfect balancing point shifts with circumstances; this would be an example of the very trendy “self-organized criticality.” This can be shown with some mathematically bruising analytical techniques, and a small subfield has grown examining brain criticality in normal and diseased circumstances. For example, there is a tilt toward white noise in epilepsy, reflecting the overly synchronized firing of clusters of epileptiform neurons (and, in fact, there is a remarkable similarity between the distribution of frequency and severity of seizures, and that of earthquakes). Similarly, autism spectrum disorder appears to have a different type of tilt toward white noise, reflecting the relatively isolated peninsulas of function in the cortex. And at the other end, Alzheimer’s disease involves a tilt toward brown noise, as the death of neurons here and there begins to break down the patterning (and efficacy) of networks. See: J. Beggs and D.

Plenz, “Neuronal Avalanches in Neocortical Circuits,” *Journal of Neuroscience* 23 (2003): 11167; P. Bak, C. Tang, and K. Wiesenfeld, “Self-Organized Criticality: An Explanation of the 1/f Noise,” *Physics Review Letters* 59 (1987): 381; L. Cocchi et al., “Criticality in the Brain: A Synthesis of Neurobiology, Models and Cognition,” *Progress in Neurobiology* 158 (2017): 132; M. Gardner, “White and Brown Music, Fractal Curves and One-Over-f Fluctuation,” *Scientific American*, April 1978; M. Belmonte et al., “Autism and Abnormal Development of Brain Connectivity,” *Journal of Neuroscience* 24 (2004): 9228.

Footnote: A website celebrating the mathematics of Bacon numbers: [coursehero.com/file/p12lp1kl/chosen-actors-can-be-linked-by-a-path-through-Kevin-Bacon-in-an-average-of-6/](http://coursehero.com/file/p12lp1kl/chosen-actors-can-be-linked-by-a-path-through-Kevin-Bacon-in-an-average-of-6/). For an excellent, accessible biography of Paul Erdős, see

P. Hoffman, *The Man Who Loved Only Numbers: The Story of Paul Erdős and the Search for Mathematical Truth* (Hyperion, 1998).

31. For an example, see J. Couzin et al., “Effective Leadership and Decision-Making in Animal Groups on the Move,” *Nature* 433 (2005): 7025.

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and B. McEwen, “Social Structure, Adversity, Toxic Stress, and Intergenerational Poverty: An Early Childhood Model,” *Annual Review of Sociology* 43 (2017): 445, by brothers Craig, sociologist at Bowdoin College, and Bruce, neurobiologist at Rockefeller University. This is interdisciplinary science and family relations at their finest. Bruce, an extraordinarily accomplished scientist, was my PhD adviser, mentor, and father figure for almost forty years. He died in 2020; I still feel his absence.

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## 10.5. INTERLUDE

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## 11. WILL WE RUN AMOK?

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While reading Seierstad’s book, I was also reading Masha Gessen’s *The Brothers: The Road to an American Tragedy* (Riverhead Books, 2015), an account of the Boston Marathon bombing carried out by the Tsarnaev brothers. The older brother, Tamerlan, was clearly the dominating force and catalyst of the two; Gessen’s profile of him paints someone who seems astonishingly similar to Breivik; as opposite of ideologies as can be found, but the same mediocrities stewing with a sense of being entitled to glory and domination, and externalizing fault when they fall far short—pointless, empty vessels waiting to be filled with some sort of poison that would finally make them someone who could not be ignored. This same point was explored by Tom Nichols in “The Narcissism of the Angry Young

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Though the likes of a beheading or a public hanging are things of the past in

the West, they're not all that far past—you could have taken the London subway to attend the last public hanging in the UK, in 1868; while the last guillotining was being carried out in France, you could spend your evening watching a Star Wars movie, dancing to the Bee Gees in a disco, or feeding (or not) your pet rock—1977.

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## 15. IF YOU DIE POOR

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# **Determined**

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**Robert M. Sapolsky**

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